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3 **Scattering calculations at C-band for asymmetric raindrops**
4 **reconstructed from 2D video disdrometer measurements**
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7 Merhala Thurai^{†1}, Sanja Manić¹, Michael Schönhuber², V. N. Bringi¹, and
8 Branislav M. Notaroš¹
9

10 ¹Department of Electrical and Computer Engineering
11 Colorado State University, Fort Collins, Colorado, USA
12

13 ²Joanneum Research, Graz, Austria
14

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[†] Corresponding Author:
Merhala Thurai
Colorado State University
Department of Electrical and Computer Engineering
1373 Campus Delivery
Fort Collins, CO 80523, USA
Phone: (970) 491- 7678:
E-mail: merhala@engr.colostate.edu

ABSTRACT

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The distribution of raindrop shapes is well-known to be important in deriving retrieval algorithms for drop size distribution parameters (such as the mass-weighted mean diameter) and rain rate, as well as for attenuation-correction using the differential propagation phase constraint. Whilst past work has shown that in the vast majority of rain events, the most ‘probable’ shapes conform to those arising primarily from the axisymmetric (2,0) oscillation mode, a more recent event analysis has shown that drop collisions can give rise to mixed mode oscillations and that for high collision rate scenarios, a significant percentage of drops can become ‘asymmetric’ at any given instant.

As a follow-up to such studies, we have performed scattering calculations for 3D-reconstructed shapes, of asymmetric drops using the shape measurements from a 2D video disdrometer (2DVD) during the abovementioned rain event. A recently developed technique is applied to facilitate the 3D reconstruction from the 2DVD camera data for these asymmetric drops. The reconstruction requires a specific technique to correct for the drop distortions due to horizontal velocities. Scattering calculations for the reconstructed asymmetric drops have been performed using a higher order method of moments solution to the electric and magnetic field surface integral equations. Results show that the C-band scattering amplitudes of asymmetric drops are markedly different from those of oblate spheroids. Our future intention is to automate the entire procedure so that more realistic simulations can be performed using the 2DVD-based data, particularly for cases where collision-induced drop oscillations give rise to considerable numbers of asymmetric drops.

40 **1. Introduction**

41 It is well known that drop size and shape (or axis ratio) distributions of raindrops are
42 important factors in deriving retrieval algorithms for drop size distribution (DSD) parameters and
43 rain rate (Seliga and Bringi 1976), as well as for attenuation-correction at higher frequencies (C-
44 and X-bands) from polarimetric radar (e.g., Doviak and Zrnic 1993; Bringi and Chandrasekar
45 2001, and references contained therein). Previous work on drop shapes has ranged from
46 laboratory and wind-tunnel measurements (see Beard et al. 2010 for a more recent review) to
47 inferences from polarimetric data (Goddard et al. 1982, Gorgucci et al., 2006, Gourley et al.
48 2009), as well as theoretical modelling studies (for example, Beard and Chuang 1987).
49 Additionally, the 2D-video disdrometer (2DVD) (Schönhuber et al. 2000 and 2008) has been
50 utilized to determine drop shapes from an ‘artificial rain’ experiment (Thurai et al. 2007), as well
51 as in natural rain (as reported in Beard et al. 2010, for example).

52 A thorough examination of the 2DVD camera data in natural rain from several locations have
53 shown that in the vast majority of cases, the most ‘probable’ shapes conform to those arising
54 primarily from the axisymmetric (2,0) oscillation mode (Beard et al. 2010). The other two
55 oscillation modes, namely, mode (2,1) and mode (2,2), did not seem to be contributing
56 significantly to the probable shapes. When (2,0) is the dominant oscillation mode, one would
57 expect the drop shapes to be rotationally symmetric, whereas if the oscillation amplitudes of the
58 other modes become significant then drop shapes will be expected to become asymmetric. For
59 the latter case, the lack of symmetry can be detected from the image projections from the 2DVD
60 camera data.

61 Such a scenario was found to be the case in a recent study (Thurai et al. 2013 and 2014a)
62 using two collocated 2DVD instruments and the C-band polarimetric radar, ARMOR (Petersen

63 et al. 2007; Crowe et al. 2012), in Huntsville, Alabama. The study showed that for this event
64 (occurred on 25 Dec 2009), which had a highly organized line convection embedded within a
65 larger rain system, significant fraction of the moderate-to-large sized drops did not possess
66 rotational symmetry when the convection line passed over the 2DVDs. These inferences were
67 made based on the ability (or not) to successfully ‘deskew’ the camera images of all the
68 individual drops. More than 30% of the 3 mm and larger drops were found to be non-
69 deskewable. Additionally ARMOR radar data analyses also showed that our most probable drop
70 shape assumptions were not accurate nor applicable within the (rain-dominated) convection line.
71 The lack of symmetry for such a large fraction of the drops was attributed to mixed-mode
72 oscillations occurring within the intense rain shafts, which in turn were attributed to frequent,
73 and sustained, drop collisions. The calculated collision rates were found to be highly correlated
74 with the percentage of asymmetric drops. It is important to note here that if the collision rate
75 becomes comparable to the decay time of the collision-induced drop oscillations, then sustained
76 drop collisions can cause significant fraction of the drops at any instant in time to be in mixed
77 mode oscillation state (and not have rotational axis of symmetry).

78 In this paper, we revisit the 25 Dec 2009 Huntsville event in order to reconstruct the shapes
79 for raindrops which did not possess symmetry axis. In Section 2, we summarize the procedures
80 needed to 3D reconstruct such asymmetric drops based on 2DVD measurements (Schönhuber et
81 al., 2016; Schwinzerl et al., 2016), with an illustrative rain drop example from the Huntsville
82 event. Section 3 presents an accurate, efficient, and versatile technique for electromagnetic
83 scattering analysis of reconstructed drops, which includes surface integral equation (SIE) based
84 modeling of drops and numerical solution using higher order method of moments (MoM)
85 (Notaroš 2008; Chobanyan et al. 2015), as well as post-processing of MoM-SIE current-

86 distribution coefficients to obtain polarimetric radar observables and MoM-SIE mesh generation
87 from 2DVD-based 3D reconstructions of drops. In Section 4, we present example results of C-
88 band scattering amplitudes and single drop differential reflectivity calculations for a number of
89 asymmetric drops with different sizes whose realistic shapes are 3D-reconstructed from 2DVD
90 measurements and accurately modeled by MoM-SIE surface meshes of quadrilateral patches, and
91 compare them to those for rotationally symmetric drops. Other polarimetric radar parameters are
92 also considered and evaluated. This is followed by Conclusions in Section 5.

93

94 **2. 2DVD contours and reconstructing asymmetric drops in 3D**

95 The 2DVD has two orthogonally placed light sources and two high speed line scan cameras
96 giving rise to an intersection area of approximately 10 cm by 10 cm. The two light planes are
97 vertically separated, and the separation, including any non-parallelism, is calibrated with high
98 precision metallic spheres. The precise calibration enables the fall velocity of each particle to be
99 determined accurately. Details of the instrument as well as the calibration procedures are given
100 in Schönhuber et al. (2007, 2008).

101

102 **2.1 2DVD contour data**

103 The optical set-up allows the measurements of the drop contours in the two perpendicular
104 planes, *viz.* x-z and y-z, where z represents the zenith. When a drop has a horizontal velocity
105 component however, the measured contours will become distorted or skewed. Prior publications
106 (Schönhuber 1998, Schönhuber et al. 2000, and later in Huang et al., 2008) have described in
107 detail the procedures to deskew the contours of such drops which are skewed by their horizontal
108 movement. However, the main assumption in the deskewing procedure for each drop is that it

109 possesses an intrinsic axis of rotational symmetry. For such cases drops, the deskewing
110 procedures will successfully output the corrected contours – which can then be used to derive the
111 shape and the orientation – as well as the drop horizontal velocity in the x-y plane. (Appendix A1
112 shows these velocities compared with independent, collocated, wind sensor data). Note that the
113 drop volume derived from the two deskewed contours will be the same as that from the two
114 measured contours.

115

116 **2.2 Deskewing procedure for drop horizontal velocity**

117 For drops which do not have a well-defined axis of rotational symmetry (referred to as
118 asymmetric drops hereafter), one or both of the measured contours will not meet the necessary
119 criteria for the deskewing algorithm to be successful. Consider the example shown in Fig. 1,
120 which shows a raindrop measured during the aforementioned 25 December 2009 event in
121 Huntsville, Alabama. The equi-volume spherical diameter (D_{eq}) of the drop is 4.81 mm. The two
122 measured contours in the x-z and the y-z planes are given in panels (a) and (b) respectively. In
123 both cases, the straight lines connecting the middle of the top and the middle of the bottom scan
124 lines are shown. Panel (c) shows the same contour as panel (b), but after the (successful)
125 correction of the distortion caused by the drop horizontal velocity component in the y-z plane.
126 The longest line which lies orthogonal to the axis of symmetry is highlighted by a relatively
127 darker color. This can be considered as the ‘equator’ of the drop. The restoration of the
128 orthogonality yields as a byproduct the horizontal velocity component in that plane. In the case
129 of panel (b), this value was 5.85 m/s towards the left. Note that the recovered symmetry axis in
130 panel (c) is not the necessarily same as the straight line in panel (b).

131 On the other hand, for the contour in panel (a), an axis of symmetry could not be established
132 by the deskewing procedure and hence the drop horizontal velocity cannot be estimated in that
133 plane. For such unusual cases, an alternative method has been very recently developed
134 (Schönhuber et al., 2016; Schwinzerl et al., 2016). Details of the step-by-step procedure are
135 given in Schönhuber et al. (2016), hence only the salient points are summarized here, and the
136 aforementioned 4.81 mm drop is used specifically in this paper as an illustrative example.

137

138 **2.3 Deskewing procedure for asymmetric drops**

139 The main requirement is to first output, for a given plane, all known horizontal velocity
140 components for all drops of similar size which do have symmetry axis. These velocity values are
141 then interpolated in time to estimate the velocity component for the asymmetric drops. Fig. 2
142 shows the horizontal drop velocities of all drops with D_{eq} greater than 2 mm. The velocities are
143 derived from the corresponding camera A contours, within a ‘zoomed-in’ time period of 7.2
144 seconds. The solid line represents these velocities. The vertical line shows the time of the drop
145 corresponding to Fig. 2 for which the time interpolation is required. The time interpolated
146 velocity value so derived is then used for correcting the measured contour in Fig. 1(a) using the
147 same procedure as in Schönhuber et al. (2016).

148 The corrected contour is shown in Fig. 3(a) as a thick red line. This panel also shows the
149 contours corresponding to the preprocessing steps, starting from (i) the black dots which are the
150 camera A’s raw data, (ii) the green line which presents a linear interpolation based on the black
151 dots, and (iii) its smoothed contour represented by the thin red line using an Akima algorithm
152 (Gimpl 2012; also see Appendix of Thurai et al., 2007).

153

154 **2.4 3D reconstruction**

155 The next step is to generate a new set of points in each plane by resampling at various (and
156 uniformly spaced) height intervals with typically 0.1 mm spacing. The center of gravity for each
157 of the two contours is established, which are then shifted into the $x = 0$ and $y = 0$ plane
158 respectively. Fig. 3(b) shows the two contours in x-y-z coordinate system.

159 Next, for each $z = \text{constant}$ plane, each pair of neighboring points is used to derive a 90 deg
160 segment of an ellipse. Examples for some of the z planes are over-plotted over each other in Fig.
161 3(c) which shows the corresponding ellipses in each quadrant with different colors. By stacking
162 such sets of ellipses along the z -axis, it becomes possible to construct the drop shape in 3D. For
163 the same drop we have considered thus far, the 3D reconstructed drop is shown in Fig. 4. Note,
164 again, that the drop volume of the 3D reconstructed drop is the same as the drop volume
165 originally determined from the raw data from the two cameras.

166 One possible limitation with respect to this 3D reconstruction is that it is limited by having
167 only 2 orthogonal views rather than 3 (the third one being in the x-y plane). Given this
168 restriction, one can only use the aforementioned 90 deg segment ellipses for the reconstruction.
169 However, since rain drops (symmetric or otherwise) have relatively smooth surface and
170 homogeneous (unlike snow particles which can have sharp discontinuities and are highly
171 inhomogeneous), the errors in the 3D reconstructed shapes are not likely to be significant. Also
172 to be noted is the relatively fine spacing for each slice, as can be gleaned from Fig. 3(c) which
173 shows the slices in some, but not all, of the z -planes.

174 In the next section, we describe the method to calculate the forward and back scatter
175 amplitudes using such 3D reconstructed raindrops as input.

176

177 3. Scattering analysis of reconstructed drops

178

179 3.1. Surface integral equation based electromagnetic modeling of asymmetric raindrops

180 Numerical modeling and analysis of electromagnetic scattering from asymmetric raindrops
 181 whose shapes are 3D-reconstructed based on 2DVD measurements is performed using a
 182 numerically rigorous full-wave computational electromagnetics approach invoking the method of
 183 moments (MoM) in the surface integral equation (SIE) theoretical formulation and a higher order
 184 numerical implementation (Chobanyan et al. 2015; Notaroš 2008). To outline the MoM-SIE
 185 scattering analysis methodology, consider a dielectric scatterer (raindrop) of an arbitrary
 186 (asymmetric) shape and complex dielectric constant (permittivity) $\epsilon = \epsilon_r \epsilon_0$ (and permeability μ_0),
 187 where $\epsilon_r = 72.5 - j22.43$ (water at 5.625 GHz), situated in free space (air) and excited by a time-
 188 harmonic electromagnetic field of complex field-intensities \mathbf{E}^{inc} and \mathbf{H}^{inc} and frequency f
 189 ($f = 5.625$ GHz, C band), as shown in Fig. 5.

190 According to the surface equivalence principle, the electric and magnetic fields both inside
 191 the scatterer (interior region) and in the surrounding air (external region) can be expressed in
 192 terms of equivalent electric and magnetic fictitious (artificial) surface currents, of densities \mathbf{J}_s
 193 and \mathbf{M}_s , placed on the surface S of the scatterer (Chobanyan et al. 2015). The boundary
 194 conditions for the tangential components of the total (scattered plus incident) electric and
 195 magnetic field vectors on S give (Djordjević and Notaroš 2004)

$$196 \quad [\mathbf{E}^{\text{scat}}(\mathbf{J}_s, \mathbf{M}_s, \epsilon_0)]_{\text{tang}} + (\mathbf{E}^{\text{inc}})_{\text{tang}} = [\mathbf{E}^{\text{scat}}(-\mathbf{J}_s, -\mathbf{M}_s, \epsilon)]_{\text{tang}}, \quad (1)$$

$$197 \quad [\mathbf{H}^{\text{scat}}(\mathbf{J}_s, \mathbf{M}_s, \epsilon_0)]_{\text{tang}} + (\mathbf{H}^{\text{inc}})_{\text{tang}} = [\mathbf{H}^{\text{scat}}(-\mathbf{J}_s, -\mathbf{M}_s, \epsilon)]_{\text{tang}}, \quad (2)$$

198 where \mathbf{E}^{scat} is the scattered electric field (Fig. 5), calculated as

$$199 \quad \mathbf{E}^{\text{scat}}(\mathbf{J}_s, \mathbf{M}_s, \varepsilon) = -j\omega\mu_0 \int_S \left(\mathbf{J}_s g + \frac{1}{k^2} \nabla_s \cdot \mathbf{J}_s \nabla g \right) dS + \int_S \mathbf{M}_s \times \nabla g dS, \quad (3)$$

200 with g and k denoting Green's function and wave number for the unbounded medium,
 201 respectively, and similarly for the scattered magnetic field, \mathbf{H}^{scat} . Scattered fields in each of the
 202 two regions (i.e., on the two sides of Eqs. (1) and (2)) are computed assuming that the remaining
 203 space is filled with the medium of that region. Having in mind the integral expressions for fields
 204 \mathbf{E}^{scat} (in Eq. (3)) and \mathbf{H}^{scat} , Eqs. (1) and (2) represent a set of coupled electric/magnetic field
 205 surface integral equations (SIEs) for \mathbf{J}_s and \mathbf{M}_s as unknown quantities, which can be discretized
 206 and solved using the MoM.

207

208 **3.2 Higher order MoM numerical solution of SIEs and evaluation of radar observables**

209 In our higher order MoM-SIE technique, the surface S in Fig. 5 and Eq. (3) is modeled using
 210 generalized curved parametric quadrilaterals of arbitrary geometrical orders K_u and K_v ($K_u, K_v \geq$
 211 1) and the current density vectors, \mathbf{J}_s and \mathbf{M}_s , over quadrilaterals in the model are approximated
 212 by means of hierarchical-type vector basis functions of arbitrary current-expansion orders N_u and
 213 N_v ($N_u, N_v \geq 1$) (Djordjević and Notaroš 2004; Chobanyan et al. 2015). Note that even the
 214 quadrilateral of the first geometrical order, with $K_u = K_v = 1$, the so-called bilinear patch
 215 (Notaroš 2008) provides good flexibility for geometrical modeling; it is determined solely by
 216 four interpolation points – its four vertices, which can be arbitrarily positioned in space (do not
 217 need to be coplanar), and its edges and all coordinate lines are straight, while its surface is
 218 somewhat curved (inflexed).

219 The unknown coefficients in the expansion of the current-distribution are determined by
 220 solving the SIEs in Eqs. (1) and (2), employing Galerkin method (Djordjević and Notaroš 2004),
 221 which applies another surface integration of the SIEs with testing (weighting) functions equal to

222 the basis functions. With this, the SIEs are discretized into a system of N linear algebraic
223 equations with N unknowns, which is solved utilizing a direct solver, based on LU factorization.
224 Once the unknown coefficients are found, the currents \mathbf{J}_s and \mathbf{M}_s over any generalized
225 quadrilateral patch in the model surface are computed, and \mathbf{E}^{scat} is evaluated using Eq. (3).

226 Computation of \mathbf{E}^{scat} at far field points, for vertical and horizontal polarizations of the
227 incident field \mathbf{E}^{inc} , enables the matrix of scattering amplitudes, S , to be found. The S matrix
228 elements are then used to calculate polarimetric radar measurables (Bringi and Chandrasekar
229 2001). Note that reconstructed rain particle models are centered at the coordinate origin and are
230 observed from the x - y (horizontal) plane ($z = 0$) in Fig. 5.

231

232 3.3 MoM-SIE mesh generation from 2DVD-based 3D reconstructions of drops

233 The MoM-SIE surface geometrical models of raindrops, in Fig. 5, namely, the meshes of
234 quadrilateral patches accurately representing the realistic drop shape, are constructed using the
235 points of the 3D reconstructions obtained from 2DVD measurements, as explained in Section 2.
236 In what follows, for simplicity – we describe the mesh generation procedure on a MoM-SIE
237 geometrical model constructed from the simplest Lagrange generalized quadrilaterals, bilinear
238 patch elements; the generalization to the procedure leading to models with elements of higher
239 orders K_u and K_v ($K_u, K_v \geq 2$) is straightforward. Fig. 6(a) shows the bilinear quadrilateral mesh
240 of the particular 2DVD-based raindrop reconstruction in Fig. 4. Each patch is defined by the
241 respective four points (quadrilateral vertices) from the 3D reconstruction, as illustrated in Fig.
242 6(b). These four points are chosen in two pairs of points; namely, for the first element in the
243 surface model, one pair of points is selected from the lowest horizontal cutting plane (2D
244 contour) of the reconstructed 3D contour, such that the two points have consecutive values of the

245 azimuthal angle position (e.g., points 1 and 2 in Fig. 6(b)). Points for the second pair are then
246 adopted from the next horizontal cutting plane (points 3 and 4 in Fig. 6(b)), with each of them
247 having a corresponding point in the first pair – with the same azimuthal angle position. The
248 second element in the model is then defined similarly but with one point from each pair being
249 shared with the first element. The remaining two points are adopted to have the next value of the
250 azimuthal angle position, while each new point is in the same cutting plane with one of the
251 shared points. Further elements in the mesh, that are between the first and the last horizontal
252 cutting plane, are constructed in a similar fashion, so that the mesh becomes connected (with no
253 gaps between elements). The elements at the top (or bottom) of the model are constructed using
254 only the points from the first (or last) cutting plane (all four points belong to the same horizontal
255 2D contour).

256

257 **4. Results and discussion**

258 Whereas for rotationally symmetric raindrops, one would expect parameters such as Z_{dr} to be
259 independent of the ‘look-angle’ ϕ in the x - y horizontal plane, for asymmetric drops, it is only
260 reasonable to expect Z_{dr} to vary with ϕ . However, as described in Section 2, the cross-section in
261 the x - y plane is generated with a double-ellipse using only four points derived from the two
262 camera-based contours for a given z . Although this procedure can be justified for raindrops –
263 because of their relatively smooth surface, with no sharp discontinuities – the method would also
264 result in scattering cross-sections showing relatively smooth and somewhat periodic variation
265 with ϕ .

266 Fig 7 shows the C-band ($f = 5.625$ GHz; water complex permittivity $\epsilon_r = 72.5 - j22.43$) co-
267 polar back scatter amplitudes for the 4.81 mm drop described earlier as the illustrative example

268 (Figs. 4 and 6). The real and imaginary parts for the horizontal and vertical polarizations are
269 presented separately. Horizontal incidence is considered where θ in Fig. 5 is set to 0 deg. All
270 four quantities show ϕ dependence, but in terms of the magnitude, the real part of the horizontal
271 back scatter amplitude dominates. It is this variation which has the highest effect on the Z_{dr}
272 variation with ϕ . The minimum and maximum values of the calculated Z_{dr} were 2.98 and 3.63
273 dB, respectively[‡]. This range of values lies below the Z_{dr} calculated for the equivalent raindrop
274 with an oblate spheroid shape, which amounts to 3.8 dB and is ϕ independent.

275 The Z_{dr} variations for two drops with similar D_{eq} values, $D_{eq_1} = 3.08$ mm and $D_{eq_2} = 3.09$
276 mm, are provided in Fig.8, which also shows the drop shapes. Visual inspection of the shapes
277 clearly indicates that the former has the transverse (2,1) oscillation mode playing a more
278 significant role, whilst the latter features the horizontal (2,2) mode as more significant.
279 Nevertheless, it is very likely that in both cases mixed mode oscillations were taking place, as
280 was discussed in earlier publications (Thurai et al. 2013 and 2014a). The event analysis showed
281 that the percentage of drops undergoing mixed mode oscillations was correlated with the
282 collision rates calculated based on the measured drop size distribution at ground level.

283 The Z_{dr} variations for the two drops in Fig. 8 are very clearly different. Compared with the
284 Z_{dr} calculated for the equivalent oblate spheroid (shown as dashed line), the 3.08 mm drop has
285 lower values and the 3.09 mm drop has higher values. For the former, Z_{dr} values range from
286 0.062 to 0.071 dB, and for the latter they range from 2.64 to 3.62 dB. Compared with 1.7 dB for
287 the oblate spheroid, the drop with apparently more dominant transverse oscillation component
288 shows considerably lower Z_{dr} , whereas the drop with more horizontal mode oscillation shows
289 considerably higher values.

[‡] Sensitivity studies were conducted to examine the effect of inaccuracies in drop horizontal velocities on the reconstructed drop shapes and the final scattering calculations; they showed that the resulting uncertainties were much less than the ϕ dependence on Z_{dr} .

290 A total of eight drops were selected as illustrative examples, from the 25 Dec 2009 event in
291 Huntsville, Alabama. The drops were selected randomly, and their D_{eq} ranged from 3 mm to 5.3
292 mm. All eight drops had shown a lack of rotational symmetry. A summary of the range of Z_{dr}
293 values, i.e., the maximum and minimum values in the range $0 \leq \phi \leq 360^\circ$, are plotted against
294 their D_{eq} in Fig. 9, and compared with those calculated for the equivalent oblate spheroid. All
295 eight cases deviate from the dashed curve (the oblate spheroid results), some showing markedly
296 different values. Most of the cases lie below the dashed curve, which implies that transverse
297 oscillation mode tends to be more prevalent than the horizontal mode, at least for the larger sized
298 drops. This may not be the case for moderate nor smaller sized drops (which were present in
299 abundance for this rain event). Note that the axisymmetric (2,0) mode will always be present and
300 the other two modes will be superimposed onto it (Szakall et al. 2010).

301 The shape of raindrops is also expected to affect other polarimetric radar parameters, and our
302 calculations indeed show this to be the case. Summaries (ranges for $0 \leq \phi \leq 360^\circ$) of the two
303 main parameters, specific differential phase, K_{dp} , factor and specific differential attenuation, A_{dp} ,
304 factor calculated for all eight drops are shown in Fig. 10(a) and 10(b), respectively, where the
305 K_{dp} factor is defined as $\text{Real}\{S_{hh} - S_{vv}\}$ and the A_{dp} factor is given by $\text{Imag}\{S_{hh} - S_{vv}\}$, with S_{hh}
306 and S_{vv} being the corresponding forward scattering amplitudes given in Eq. (5). As with the Z_{dr} ,
307 the asymmetric drops undergo different variation with D_{eq} compared with oblate spheroids
308 (dash-dot lines), but the ratio A_{dp} factor/ K_{dp} factor shows – in Fig. 10(c) – similar variations
309 between asymmetric drops and oblate spheroids. This means that K_{dp} -based correction for
310 differential attenuation is not critically dependent on the presence of asymmetric drops.

311 Asymmetric drops would also be expected to have different variation of Z_{dr} with the
312 elevation angle, θ , when compared with oblate spheroids. For the latter, it has been shown in the

313 past (Ryzhkov et al. 2005; Thurai et al. 2014b) that for Rayleigh scattering, and for an oblate
 314 raindrop, the differential reflectivity in linear units, z_{dr} , has the following θ -angle dependence:

$$315 \quad z_{dr}(\theta) = \left| 1 + \left\{ \left(\frac{1}{\sqrt{z_{dr}(0)}} - 1 \right) \cos^2(\theta) \right\} \right|^{-2} \quad (4)$$

316 where $z_{dr}(0)$ is Z_{dr} in linear units for $\theta = 0^\circ$.

317 In Fig. 11, we compare this theoretically-derived single-drop elevation dependence of Z_{dr} ,
 318 shown as gray circles, with that calculated using the MoM-SIE technique for one of the eight
 319 asymmetric, reconstructed drops, shown as black plus marks. In both cases, the D_{eq} was 3.9 mm
 320 and calculations were done for C-band. For the oblate drop, the maximum Z_{dr} of 2.35 dB is
 321 reached at $\theta = 0^\circ$ elevation, whereas for the asymmetric drop, the maximum Z_{dr} is 2.6 dB, which
 322 is slightly higher, and this is reached at $\theta = 10^\circ$ elevation. Note also that the asymmetric drop
 323 shows negative Z_{dr} for the high elevation angles (although its magnitude is rather low), whereas
 324 the Z_{dr} of the oblate drop goes to zero – as expected – for $\theta = 90^\circ$. The differences between the
 325 two cases are not only due to shape differences but also due to Rayleigh scattering assumption
 326 for the oblate spheroid case. For further comparisons, Fig. 11 also includes single particle T-
 327 matrix calculations for the same drops with the ‘most probable shape’. As expected, these are
 328 close to eq. (4) than the MoM-SIE calculations.

329

330 Finally, we consider the cross-polar backscatter in terms of single particle LDR. Both LDR_{vh}
 331 and LDR_{hv} were considered, i.e., for ‘h’ transmit, ‘v’ receive and vice-versa. As with the Z_{dr}
 332 calculations, our LDR computations show, once again, ϕ angle variation. Table 1 shows the LDR
 333 values averaged over the full ϕ angle for three of the eight drops, along with their maximum and
 334 minimum values. The transmit ‘h’, receive ‘v’, as expected, shows lower LDR values. Also

335 included are the Z_{dr} values for the three drops. In theory, the difference between LDR_{hv} and
336 LDR_{vh} should correspond to Z_{dr} , and, as seen, this is indeed the case with our computations as
337 well. Another point to note is that drops #261 and #492 have very similar D_{eq} but significantly
338 different LDR values. These are the same two drops considered earlier in Fig. 8, and as
339 mentioned earlier, the former (#261) seems to have (2,2) mode more dominant, whilst the
340 transverse mode apparently dominates for the latter (#492). The latter produces higher LDR
341 values.

342 Oblate drops with a typical Gaussian canting angle distribution with mean zero and standard
343 deviation of 5° to 7.5° would be expected to give very low values of LDR (< -30 dB in most
344 cases). By comparison, Table 1 shows significantly higher LDR values for the asymmetric drops.
345 Hence if the fraction of large asymmetric drops is sufficiently high within the radar pulse
346 volume, then LDR will be significantly enhanced, and detectable even with modest cross-polar
347 performance C-band antenna. Higher than expected LDR values has been observed in previous
348 studies, e.g., Jameson and Durden (1996) for Ku-band radar at nadir incidence, and has been
349 ascribed as being due to high drop collision rates.

350 Significant fraction of asymmetric drops in the radar pulse volume will, by extrapolation, be
351 expected to give rise to significantly different Z_{dr} , K_{dp} , and A_{dp} when compared with the oblate
352 spheroid assumption (for a given DSD). The 2DVD is capable of providing the necessary
353 information on the individual drop shapes (albeit at ground level) which can be used directly as
354 input to the MoM-SIE method to calculate the forward and back scatter amplitudes, which in
355 turn can be integrated to simulate the radar observables. Our illustrative examples have shown
356 the feasibility of this technique, and our future intention is to automate this procedure so that
357 such variations as $Z-Z_{dr}$ and $Z-K_{dp}$ can be examined for cases where collision-induced drop

358 oscillations give rise to the presence of considerable numbers of asymmetric drops. The
359 variations can be examined not just at C-band but also S, X, Ku, Ka and W bands.

360

361 **5. Conclusions**

362 Collision-induced drop oscillations can give rise to asymmetric drops when they undergo
363 mixed-mode oscillations. Whilst for vast majority of the cases, the percentage of such
364 asymmetric drops is not likely to be high, for high-collision rate cases, one would expect a
365 significant fraction of the drops to become asymmetric at a given instant in time. Utilizing 2DVD
366 data during such an event, it has been possible to reconstruct the shapes of these drops. In order
367 to correct for drop horizontal velocities, a recently developed technique has been applied. The
368 technique outputs all known horizontal velocities (from both 2DVD cameras) for drops of the
369 same size that do have symmetry axis and for which the data processing algorithm can determine
370 these velocities in the x - y plane, This set of values is then interpolated in time for the asymmetric
371 drops. The velocity vectors so derived are then used for correcting the recorded contours in the x -
372 z and the y - z planes for each individual drop, and the corrected contours are subsequently used to
373 construct the corresponding 3D shapes.

374 The reconstructed drop shapes are then meshed and used as input to the MoM-SIE method to
375 derive the forward and back scatter amplitudes at C-band. Our results for a selected number of
376 drops have highlighted the following:

- 377 1. Z_{dr} shows a ϕ -angle dependence, but a quasi-periodic variation is observed because of the
378 method of reconstruction; nevertheless, the ranges of values are distinctly different from
379 those for equivalent oblate spheroids, which also are ϕ -independent. Moreover, two
380 examples of drops with the same D_{eq} ($= 3.1$ mm) show different ϕ -variations, one

381 indicating the (2,1) oscillation mode being more dominant, and the other with the (2,2)
382 mode dominating. As expected, the former shows considerably lower and the latter
383 considerably higher Z_{dr} range when compared with the oblate spheroid shaped drop with
384 the same D_{eq} .

385 2. The other two polarimetric parameters, which we have represented by A_{dp} factor and K_{dp}
386 factor, also show ϕ -angle dependence, but interestingly, their ratios do not differ
387 markedly from those for the oblate spheroids. This implies that K_{dp} -based correction for
388 differential attenuation is not critically dependent on the presence of asymmetric drops.

389 3. Some differences in elevation dependence are also observed for the asymmetric drops
390 when compared with theoretically-derived variation for the oblate spheroids, but these
391 differences are not only due to shape differences but also due to Rayleigh scattering
392 assumption for the oblate spheroid case.

393 4. Considerable differences in the range of LDR values are seen, and our results imply that
394 if a significant fraction of the large drops within the radar pulse volume have asymmetric
395 shapes, then LDR may be detectable even with modest cross-polar performance C-band
396 antenna.

397 To derive the overall Z_h - Z_{dr} , Z_h - K_{dp} variations etc. needed for DSD retrievals and rainrate
398 estimations, ideally one needs to take into account the shapes of each drop in x , y , z coordinates
399 (or the equivalent r , θ , ϕ coordinates). Our 3D reconstruction of the drops using the x - z and y - z
400 contours overcomes the need to have assumptions regarding drop canting angles. The output of
401 the reconstruction procedure can be readily used as input to the MoM-SIE technique for
402 scattering calculations, not just at C-band, but S-band, X-band, and higher frequency bands. Our
403 future intention is to automate this procedure so that the aforementioned variations like Z - Z_{dr} and

404 $Z-K_{dp}$ can be examined for cases where collision-induced drop oscillations give rise to the
405 presence of considerable numbers of asymmetric drops.

406

407

Appendix A1

408

Drop horizontal velocities and wind sensor data

409

410 As mentioned in section 2 and as described in Schönhuber (1998), Schönhuber et al. (2000),
411 and later in Huang et al., (2008) the deskewing procedures not only enable the drop contours to
412 be corrected for horizontal movement for each drop but also output as a byproduct the horizontal
413 velocity components from the front and the side views (A and B). Fig. A1(a) shows these
414 velocities for both cameras (aligned N-S and E-W respectively) for all drops with $D_{eq} > 2$ mm.
415 To highlight the accuracy of these outputs, we show in Fig. A1(b) and Fig. A1(c), the magnitude
416 and the direction of the drop horizontal velocities derived from the two cameras (thick black
417 lines) compared with measurements from a collocated (and independent) wind-sensor (shown in
418 grey). Smoothing has been applied to the magnitude of horizontal wind speed in order to show
419 more clearly the excellent agreement. Wind direction also shows very-well correlated variation.
420 These comparisons highlight the accuracies of the 2DVD deskewing procedures. They also
421 imply that one could use the wind sensor data (instantaneous) to deskew contours of particles
422 with more complicated shapes such as snow dendrites, aggregates etc.

423

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428 reconstruction methodology.

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562 (b) Mesh detail showing an element defined by four nodes (patch vertices).

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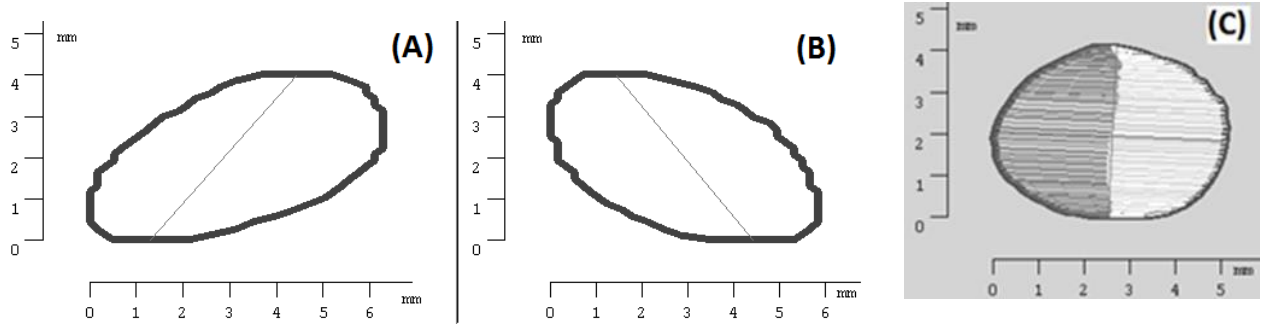
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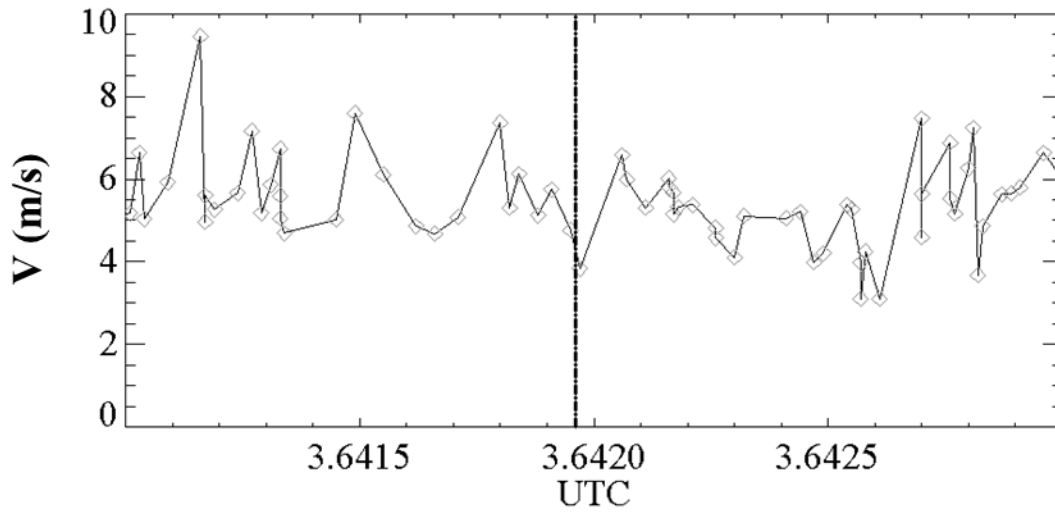
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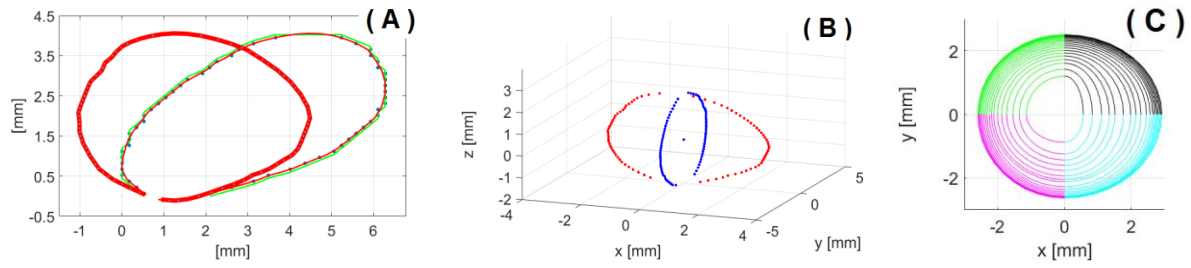


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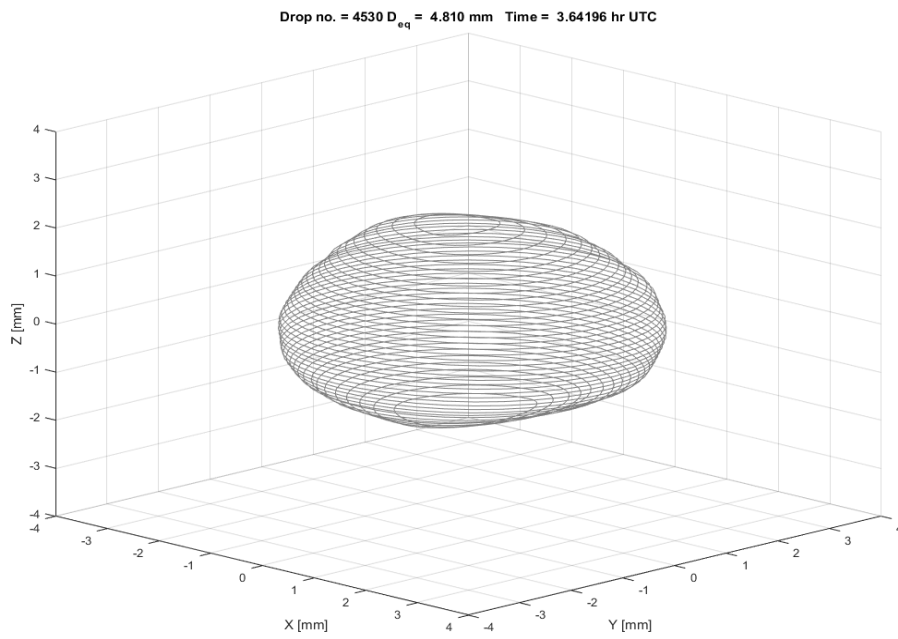
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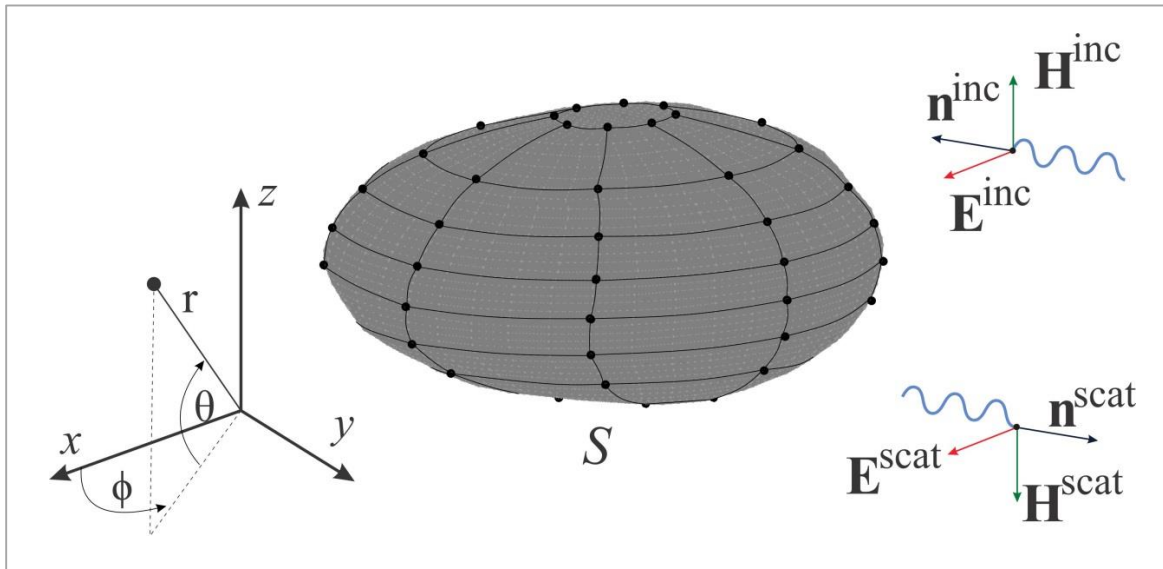
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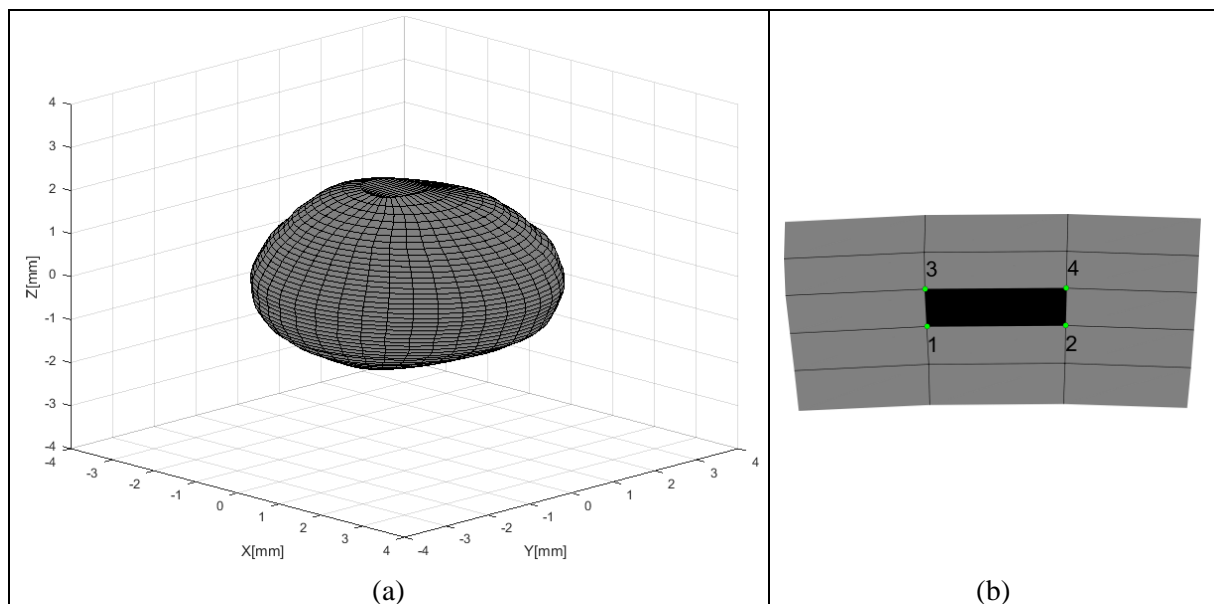
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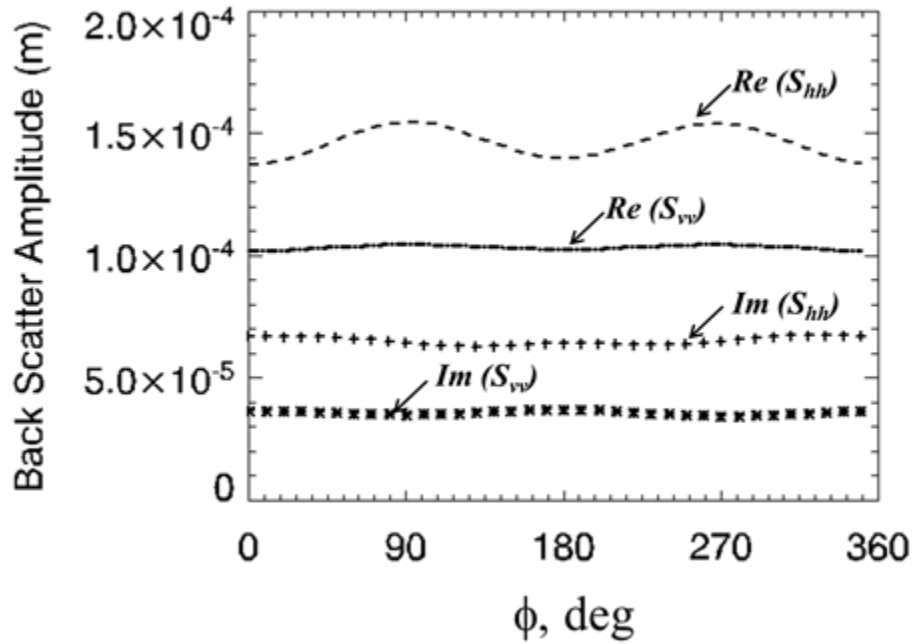
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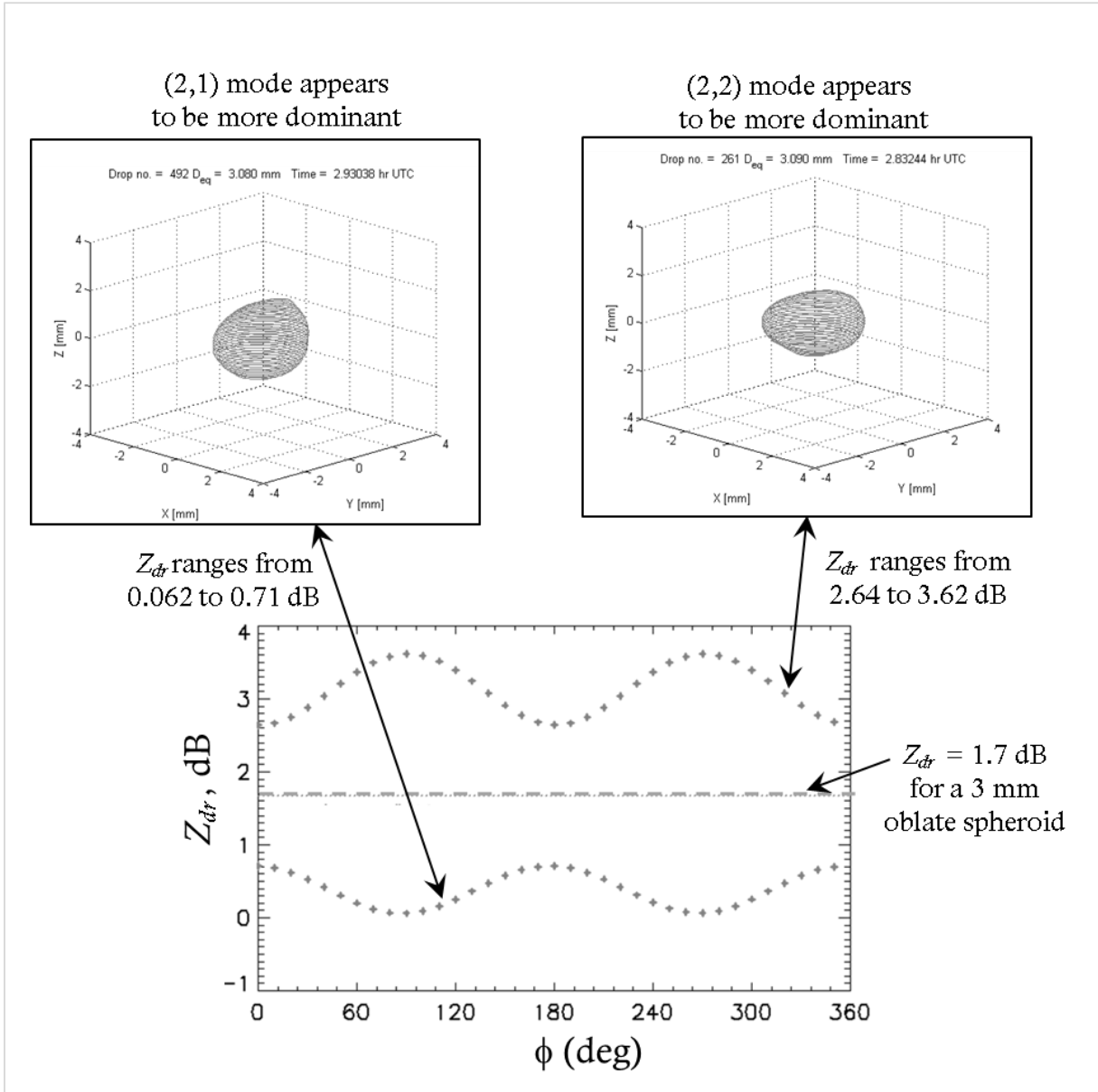
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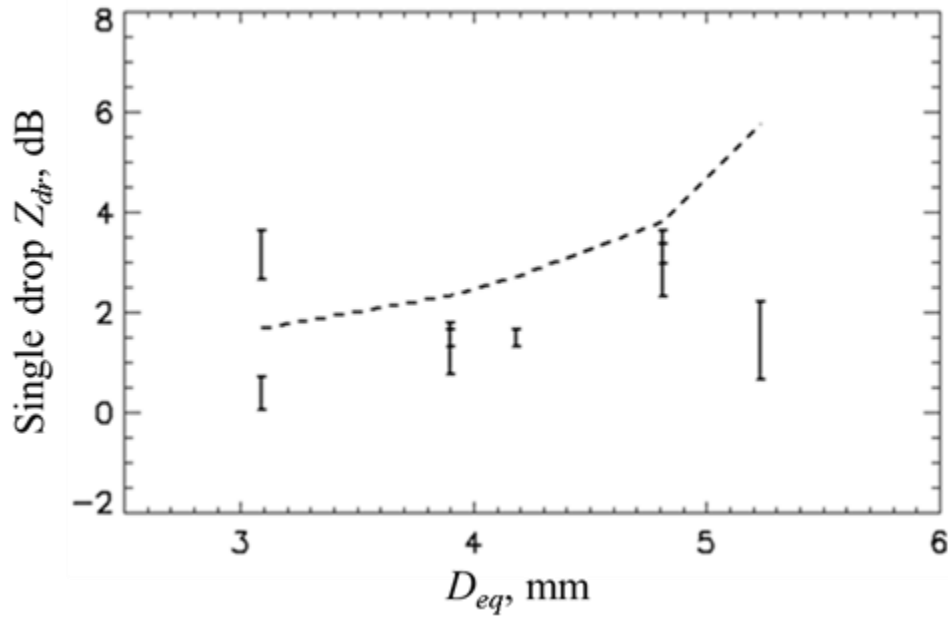
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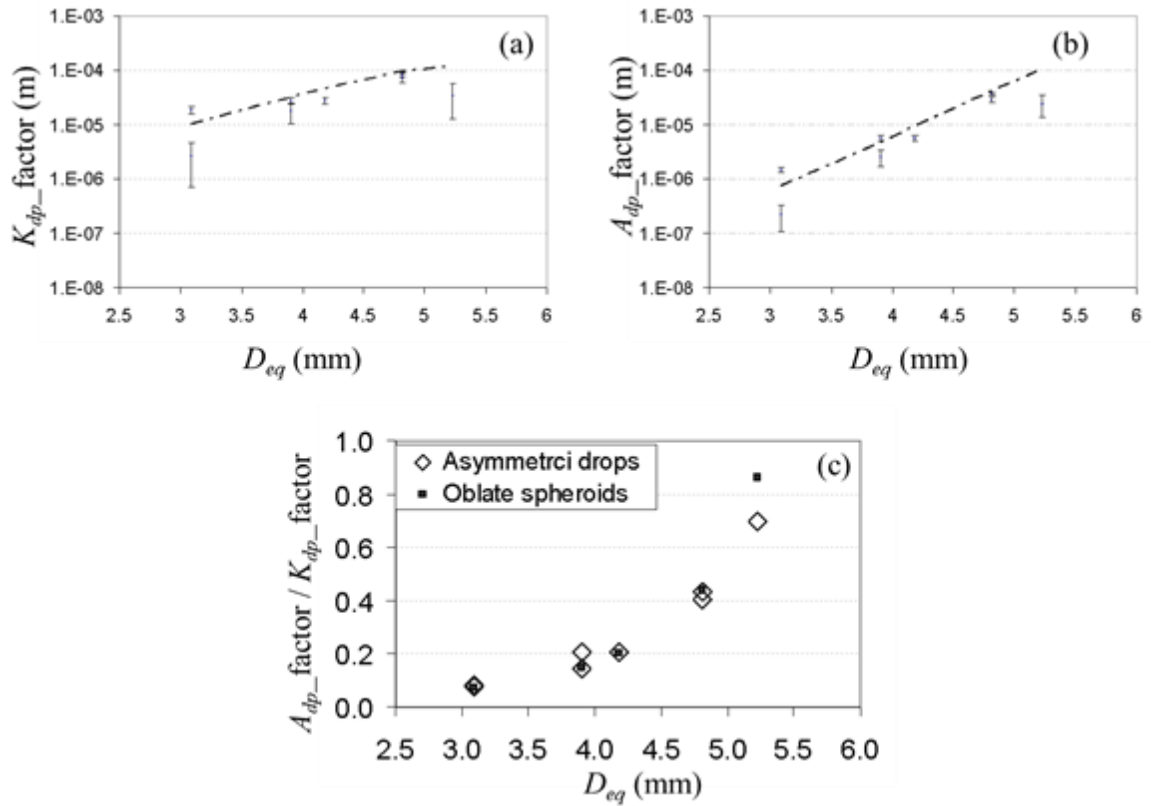
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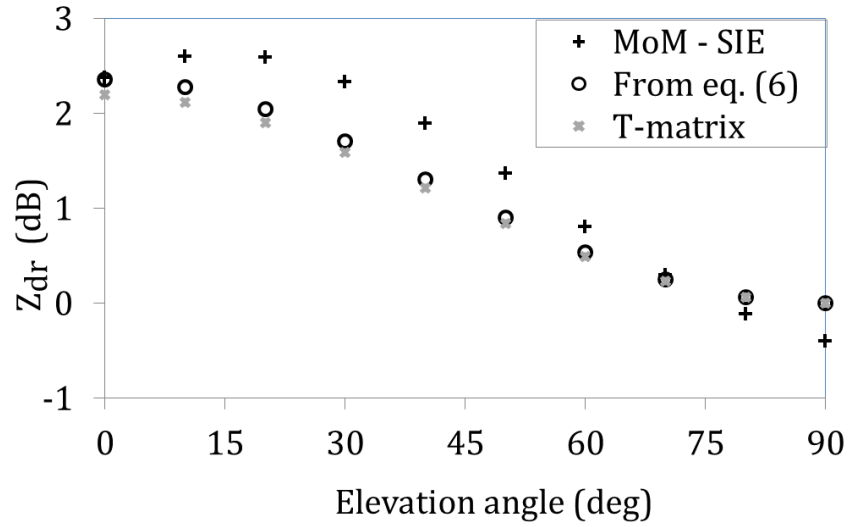


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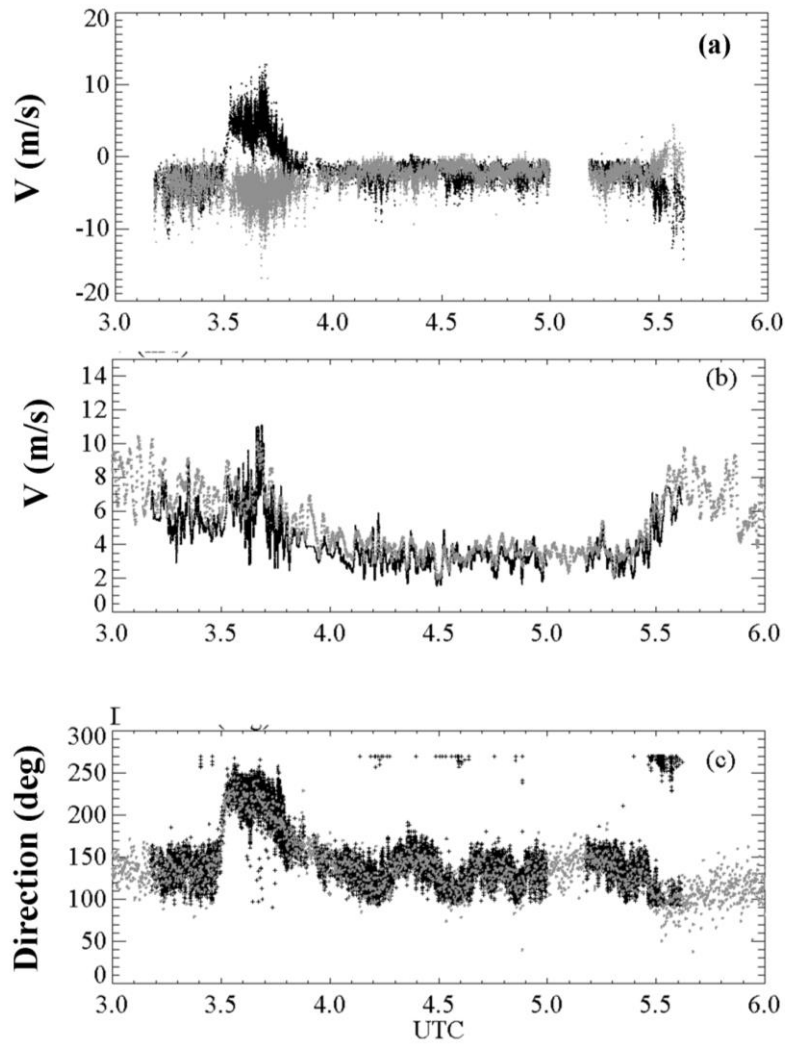
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Table 1

MoM-SIE computed C-band LDR averaged for $0 \leq \phi \leq 360^\circ$ and maximum and minimum LDR values for three of the eight asymmetric drops from Fig. 11; averaged Z_{dr} values are also shown.

Drop number	D_{eq}	Z_{dr} averaged over ϕ	LDR _{hv} averaged over ϕ (range of values)	LDR _{vh} averaged over ϕ (range of values)
#261	3.09 mm	3.15 dB	-26.7 dB (-24.1 to -49 dB)	-23.5 dB (-20.6 to -47 dB)
#492	3.08 mm	0.40 dB	-23.4 dB (-20.7 to -67 dB)	-23.0 dB (-17.8 to -65 dB)
#1416	4.18 mm	1.49 dB	-23.2 dB (-20. - to -51 dB)	-21.7 dB (-18.6 to -49 dB)